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TE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Changbai He et al.

SERIAL NO.:

10/810,057

FILING DATE:

March 26, 2004

TITLE:

**MULTI-LEVEL INTERRUPTS** 

**EXAMINER:** 

(to be assigned)

ART UNIT:

2111

**Certificate of Mailing** 

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Date: 11-2-04

Signature

Chara E Duran

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**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

#### **CORRECTION TO FILING RECEIPT**

We received the attached filing receipt for the above-identified case. It incorrectly identifies "none" under the Power of Attorney. Therefore, please amend the appropriate records to reflect the correct listing of attorneys for the above referenced matter. A power of attorney (attached) was originally submitted on September 7, 2004. Additionally, we submit herewith a document identifying "Patent Practitioners to be made of Record" under 37 C.F.R. §1.32(c)(3) to limit those identified to ten or fewer practitioners.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,

THELEN/REID & PRIEST LLP

Dated: November \ , 2

Marc S. Hanish Reg. No. 42,626

THELEN REID & PRIEST LLP

P.O. Box 640640

San Jose, CA 95164-0640 Telephone: (408) 292-5800

Fax: (408) 287-8040





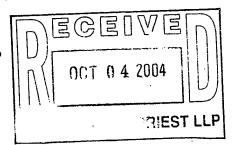
UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS

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FILING OR 371 APPL NO. **ART UNIT** FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/810,057 03/26/2004 2111 1496 **FOUND-0093** 3 34

David B. Ritchie THELEN REID & PRIEST LLP P.O. BOX 640640 SAN JOSE, CA 95164-0640



CONFIRMATION NO. 7875
UPDATED FILING RECEIPT
\*OC000000013939375\*

Date Mailed: 09/29/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Changbai He, San Jose, CA; Ron Talmor, Cupertino, CA;

**Assignment For Published Patent Application** 

Foundry Networks, Inc., a Delaware Corporation

Power of Attorney: None See enclosed " Practitioner To Be made of Record"

Domestic Priority data as claimed by applicant

If Required, Foreign Filing License Granted: 06/05/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/810.057

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

**Foreign Applications** 

Early Publication Request: No

Mail log \_\_\_\_\_ Date

CPI \_\_\_\_\_ Bate

Excel \_\_\_\_ Date

Reviewed By: MP

No Action Required:

Action Required:

Title

Multi-level interrupts

**Preliminary Class** 

710

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#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
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# Application Number 10/810,057 Filing Date March 26, 2004 First Named Inventor Changbai He et al. Art Unit 2111 Examiner Name (to be assigned) Total Number of Pages in This Submission 10 Attorney Docket Number FOUND-0093

		ENCLOSURES (check all that apply)		
Fee Transmittal F	-om	☐ Drawing(s)	After Allowance Communication to Group	
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	ply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application	Proprietary Information	
Affidavits/dec	claration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter	
Extension of Time Request		Terminal Disclaimer	Other Enclosure(s) (please identify below):	
Express Abandonment Request		Request for Refund  CD, Number of CD(s)	Correction to Filing Receipt; copy of Filing Receipt; Patent Practitioners to be Made of	
☐ Information Disclosure Statement			Record; copy of Declaration & Power of Attorney; return postcard	
Certified Copy of Priority Document(s)		Remarks		
Response to Missing Parts/ Incomplete Application				
Response to Missing Parts under 37 CFR 1.52 or 1.53				
1.02 01 1.03				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm <i>or</i> Individual name	Marc S. Hanish, Reg. THELEN REID & PRI			
Signature	Marthan			
Date	Date November, 2004			
CERTIFICATE OF TRANSMISSION/MAILING				

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Sharon E. Byam

Signature Sharon & By

Date November \_\_\_\_

**2**, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## N. THE ENITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

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Sharon E. Byam

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**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

# PATENT PRACTITIONERS TO BE MADE OF RECORD Pursuant to 37 C.F.R. § 1.32(c)(3)

Please recognize the following <u>ten</u> patent practitioners in the attached Declaration and Power of Attorney as being of record in the application or patent to which the power of attorney is directed:

David B. Ritchie, Reg. No. 31,562 Khaled Shami, Reg. No. 38,745 Steven J. Robbins, Reg. No. 40,299 Hal J. Bohner, Reg. No. 27,856 Robert E. Krebs Reg. No. 25,885 Marc S. Hanish, Reg. No. 42,626 John P. Schaub, Reg. No. 42,125 Thierry K. Lo, Reg. No. 49,097 Adrienne Yeung, Reg. No. 44,000 James E. Parsons, Reg. No. 34,691 The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: November \_\_\_\_\_\_, 2004

Marc S. Hanish Reg. No. 42,626

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 Telephone: (408) 292-5800

Fax: (408) 287-8040

EST AVAILABLE CUP



Docket No. FOUND-0093

### **DECLARATION & POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My correct residence, post office address and citizenship are stated below next to my name.

FAX NO.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

#### "MULTI-LEVEL INTERRUPTS"

The s	pecification of this subject matter:		
,	is attached hereto.		
xx	was filed on March 26, 2004;		
	was assigned serial No. 10/810,057;	٠	
	which was amended on	:	

I hereby state that I have reviewed and understand the contents of the above-identified patent application, including the claims, as amended by any amendment(s) referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. §1.58(a).

I hereby claim foreign priority benefits under 35 U.S.C. §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign	n Application(s)				Priority Claimed
Number	Country	Month/Day/Year Filed	Yes	No	
Number	Country	Month/Day/Year Filed	Yes	No	·
Number	Country	Month/Day/Year Filed	Yes	No	

Application Number

Docket No. FOUND-0093

I hereby claim the ben	im the benefit under 35 U.S.C. §119(e) of any United States provisional application(s)			
listed below:			•	
Application Number	Filing Date			

Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)

hereby appoint David B. Ritchie, Registration No. 31,562; Robert E. Krebs, Registration No. 25,885; Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125; Adrienne Yeung, Registration No. 44,000; Steven J. Robbins, Registration No. 40,299; Thierry K. Lo, Registration No. 49,097; William Samuel Niece, Registration No.: 47,824; Khaled Shami Registration No. 38,745; William E. Winters, Registration No. 42,232, Masako Ando, (37 C.F.R.§10.9 (b)); Yukiko Maekawa Registration No. 50,307 and John Klaas Uilkema, Registration No. 20,282; Becky L. Troutman, Registration No. 36,703; Hal J. Bohner, Registration No. 27,856; as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to:

David B. Ritchie
Thelen Reid & Priest LLP
P.O. Box 640640
San Jose, CA 95164-0640
Telephone: (408) 292-5800

Facsimile: (408) 287-8040

I, the undersigned, declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

		•	Docket No. FOUND-0093		
FULL NAME OF	FIRST Name	MIDDLE Initial(s)	LAST Name		
NVENTOR 1	•	•	He		
RESIDENCE AND	Changbai City	State or Foreign Country	Country of Citize	enship	
	Onn Jose	California	United States		
POST OFFICE ADDRESS	San Jose Number and Street	City	State or Country	Zip Code	
	1535 Bellemeade	San José	California	95131	
			I ACCT Name		
FULL NAME OF INVENTOR 2	FIRST Name	MIDDLE Initial(s)	LAST Name Talmer	•	
RESIDENCE AN	Ron D City	State or Foreign Country	4 14 4 4	enship	
	Cupertino	California	Israel		
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code	
·	4024 November Drive	Cupertino	California	95014	

I further declare that all statements made herein of my own knowledge are true and that all statements made upon information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent Issuing thereon.

Changbai He

1034 November Drive

Ron Talmor



Docket No. FOUND-0093

# 37 C.F.R. §1.56 Duty to disclose information material to patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which Is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1,97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
    - (2) It refutes, or is inconsistent with, a position the applicant takes in:
      - (i) Opposing an argument of unpatentability relied on by the Office, or
      - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.